

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/713,722        |
| Filing Date            | November 14, 2003 |
| First Named Inventor   | Xian-Ping Lu      |
| Group Art Unit         | 1614              |
| Examiner Name          | TBA               |
| Attorney Docket Number | 4865-104 US       |

U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|---|--|----------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                       | 3                        | WO 97/25042   | 7/17/1997                         | Smith   |  |                |
|                       | 4                        | WO 00/08002   | 2/17/2000                         | Collins   |  |                |
|                       | 5                        | WO 01/55085   | 8/02/2001                         | Mogensen, et al                                       |  |                |
|                       | 6                        | WO 02/26729 A2  | 4/04/2002                         | Sahoo, et al  |  |                |
|                       |                          |   |                                   |   |  |                |
|                       |                          |   |                                   |   |  |                |
|                       |                          |   |                                   |   |  |                |
|                       |                          |   |                                   |   |  |                |

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

|   |                        |                          |                   |
|---|------------------------|--------------------------|-------------------|
| Substitute for form 1449A/PTO   |                        | <b>Complete if Known</b> |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |                        | Application Number       | 10/713,722        |
|   |                        | Filing Date              | November 14, 2003 |
|   |                        | First Named Inventor     | Xian-Pink Lu      |
|   |                        | Group Art Unit           | 1614              |
|   |                        | Examiner Name            | TBA               |
| Sheet 2 of 2  | Attorney Docket Number | 4865-104 US              |                   |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials *                               | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|   | 7                     | T.M. WILSON, et al, "The PPAR's from orphan receptors to drug discovery," J. Med. Chem. 2000 43:527-550, A  |                |
|   | 8                     | CHAWLA, et al, Nuclear receptors and lipid physiology "Opening the X-files", Science, 2001, vol 294, 1866-1870  |                |
|   | 9                     | KELLER & WAHLI, "Peroxisome Proliferator-Activated Receptors A Link Between Endocrinology and Nutrition, TEM vol 4, No. 9, 1993, 291-296  |                |
|   | 10                    | WANG YX et al, "Peroxisome-Proliferator-Activated Receptor o Activaaaaaates Fat Metabolism to Prevent Obesity, Cell, April 18, 2003, vol. 113, 159-170  |                |
|   | 11                    | LEE, CH et al, Transcriptional Repression of Atherogenic Inflammation: Modulation by PPAR's, Science, vol 302, October 17, 2003, pp 453-457   |                |
|   |                       |   |                |
|   |                       |   |                |
|   |                       |   |                |
|   |                       |   |                |
|   |                       |   |                |
|   |                       |   |                |

|                    |                         |
|--------------------|-------------------------|
| Examiner Signature | Date Considered 6/26/05 |
|--------------------|-------------------------|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.